

## Message Text

PAGE 01 NATO 05699 01 OF 02 201648Z

53

ACTION EUR-12

INFO OCT-01 ISO-00 EURE-00 SS-15 NSC-05 CIAE-00 PM-04

INR-07 L-03 ACDA-05 NSAE-00 PA-01 PRS-01 SP-02

USIA-06 TRSE-00 SAJ-01 /063 W  
----- 052551

P R 201426Z OCT 75

FM USMISSION NATO

TO SECSTATE WASHDC PRIORITY 4116

SECDEF WASHDC PRIORITY

INFO CINCLANT

USCINCEUR

USLOSACLANT

USNMR SHAPE

S E C R E T SECTION 1 OF 2 USNATO 5699

E.O. 11652: GDS

TAGS: PFOR, NATO, MNUC, NPG

SUBJ: NPG - PERMREPS REPORT ON PHASE III

REF: A. USNATO 5597 151110Z OCT 75

B. STATE 246487 162332Z OCT 75

SUMMARY: AT OCTOBER 17 MEETING, NPG STAFF GROUP DISCUSSED AND FURTHER REVISED THE DRAFT PERMREPS REPORT ON PHASE III (REF A). PRINCIPAL THRUST OF REVISIONS CENTERED ON INTRODUCING MORE FLEXIBLE LANGUAGE IN THE SECTION SETTING FORTH THE APPROACH TO PHASE III. IS IS PREPARING A REVISED DRAFT OF THE REPORT FOR STAFF GROUP DISCUSSION ON WEDNESDAY, OCT 22, WHICH MISSION WILL TRANSMIT TO WASHINGTON WHEN RECEIVED. ACTION REQUESTED: WASHINGTON COMMENTS ON THE REVISED DRAFT PERMREPS REPORT ON PHASE III PRIOR TO OCT 22. END SUMMARY.

1. AT OCT 17 MEETING, NPG STAFF GROUP (SG) DISCUSSED REVISED DRAFT PERMREPS REPORT ON PHASE III (REF A). IN ADDITION TO VARIOUS EDITORIAL CHANGES THROUGHOUT THE REPORT, SG MADE FOLLOWING REVISIONS TO SECTION III ON SCOPE AND COVERAGE:

SECRET

PAGE 02 NATO 05699 01 OF 02 201648Z

A) PARA 5. REVISED, AT SUGGESTION OF UK, FIRST TWO SENTENCES TO READ, QUOTE THE CONSOLIDATED STATEMENT SHOULD DRAW TOGETHER ALL THREADS OF NATO THINKING ABOUT THE USE OF THEATER NUCLEAR WEAPONS SO AS TO REPRESENT COMPREHENSIVE AND

AUTHORITATIVE POLICY GUIDANCE FOR NATO POLITICAL AND MILITARY AUTHORITIES. END QUOTE.

B) PARA 7. ADDED FOLLOWING SENTENCE AT END OF LIST OF STUDIES: QUOTE THIS LIST, HOWEVER, IS NOT EXHAUSTIVE, AND OTHER DOCUMENTS MAY ALSO PROVE USEFUL IN THE PHASE III EFFORT. END QUOTE.

C) PARA 8. REVISED SECOND SENTENCE TO READ: QUOTE NEVERTHELESS, AT THIS POINT OF THE OVERALL WORK PROGRAM, IT IS NECESSARY TO ESTABLISH THE GENERAL APPROACH, AND IT IS POSSIBLE TO IDENTIFY MANY OF THE BASIC FEATURES OF A FINAL SYNTHESIS. END QUOTE.

D) PARA 9. REVISED ENTIRE PARAGRAPH AS FOLLOWS: QUOTE THE PHASE III DOCUMENT MIGHT COMMENCE WITH A GENERAL STATEMENT OF NATO'S DETERRENT STRATEGY, AND OF THE POSITION OF THEATER NUCLEAR WEAPONS WITHIN THE NATO TRIAD. THE INTERDEPENDENCE OF THE THREE ELEMENTS OF THE TRIAD SHOULD ALSO BE STATED, AND THE PRINCIPLE OF FLEXIBILITY IN RESPONSE. THERE SHOULD BE REFERENCE TO BASIC POLITICAL ELEMENTS OF THE ALLIANCE STRATEGY, RELATED TO PARTICIPATION IN BOTH THE NUCLEAR PLANNING AND NUCLEAR FORCES OF THE ALLIANCE, THE NEED FOR SOLIDARITY UNDER PRESSURE AND ATTACK, AND READINESS TO SHARE RISK AND, IF NECESSARY, TO ESCALATE. THIS BROAD STATEMENT OF THE PRINCIPLES OF ALLIANCE STRATEGY MIGHT BE LINKED TO A GENERAL DESCRIPTION OF THE THREAT IT IS DESIGNED TO COUNTER, WITH A REVIEW OF WARSAW PACT CONVENTIONAL AND NUCLEAR FORCES AND THE POLITICAL OBJECTIVES AND MILITARY STRATEGY WHICH THEY SUPPORT. THIS MIGHT BE FOLLOWED BY A DESCRIPTION OF NATO'S OWN NUCLEAR CAPABILITY IN SUPPORT OF THE STRATEGY OF DETERRENCE. SOME DESIRABLE CHARACTERISTICS OF THEATER NUCLEAR FORCES MIGHT ALSO BE INCLUDED IN ORDER TO GUIDE FURTHER EFFORTS IN THAT REGARD. END QUOTE.

E. PARA 11. REVISED TO READ: QUOTE FINALLY, PHASE III WORK SHOULD INCLUDE CONSIDERATION OF MEASURES TO SUPPORT THE SECRET

PAGE 03 NATO 05699 01 OF 02 201648Z

OBJECTIVES OF THEATER NUCLEAR WEAPONS, E.G., DECLARATORY POLICY; COMMUNICATING NATO'S INTENTIONS (CNI), AND MIGHT ALSO COVER THE DECISION-MAKING PROCESS IN THE CONTEXT OF THE AGREED CONSULTATION GUIDELINES. END QUOTE.

2. PRINCIPAL SG DISCUSSION CENTERED AROUND THE REVISED PARA 9 ABOVE. FRG REP (HUBER) SAID HIS AUTHORITIES BELIEVED THAT THE PERMREPS REPORT SHOULD HAVE THE CHARACTER OF A "TERMS-OF-REFERENCE" PROVIDING RELATIVELY RIGID DIRECTION TO THE PHASE III EFFORT. US REP (WOODWORTH), DRAWING ON GUIDANCE IN REF B, AND UK REP (BEAUMONT) PRESSED FOR PERMISSIVE LANGUAGE THAT WOULD GIVE NEEDED FLEXIBILITY TO PHASE III DRAFTERS. OTHER REPS GENERALLY SUPPORTED US AND UK, WHILE FRG REP

RESERVED ON THE NEW LANGUAGE INDICATED ABOVE PENDING ITS REVIEW BY HIS AUTHORITIES.

3. US REP RAISED THE POINTS IN PARA 2 OF REF B CONCERNING THE CONSULTATION GUIDELINES. SG AGREED ON ALTERNATIVE LANGUAGE INDICATED ABOVE FOR PARA 11 OF THE PERMREPS REPORT.

4. US REP SOUGHT AGREEMENT TO ATTACH THE US, UK AND FRG SCOPE PAPERS TO THE DRAFT PERMREPS REPORT, BUT OBTAINED NO SUPPORT. UK AND FRG REPS IN PARTICULAR DID NOT WANT TO GIVE THE SCOPE PAPERS SUCH PROMINENCE, SINCE THE UK AND FRG PAPERS HAD BEEN INTENDED ONLY AS INFORMAL INPUTS FOR STAFF LEVEL USE IN PREPARING THE PERMREPS REPORT. US REP SAID THAT HE WOULD REPORT THE DISCUSSION, BUT THAT HIS AUTHORITIES MIGHT WISH TO RETURN TO THIS POINT. COMMENT: WITHOUT UK AND FRG SUPPORT, IT IS NOT LIKELY THAT WE CAN GET AGREEMENT TO ATTACH THE PAPERS TO THE REPORT. THE BEST ALTERNATIVE MAY BE TO MAKE MORE PROMINENT REFERENCE TO THE SCOPE PAPERS IN THE TEXT OF THE REPORT. END COMMENT.

SECRET

PAGE 01 NATO 05699 02 OF 02 201637Z

53  
ACTION EUR-12

INFO OCT-01 ISO-00 EURE-00 SS-15 NSC-05 CIAE-00 PM-04

INR-07 L-03 ACDA-05 NSAE-00 PA-01 PRS-01 SP-02

USIA-06 TRSE-00 SAJ-01 /063 W  
----- 052443

P R 201426Z OCT 75  
FM USMISSION NATO  
TO SECSTATE WASHDC PRIORITY 4117  
SECDEF WASHDC PRIORITY  
INFO CINCLANT  
USCINCEUR  
USLOSACLANT  
USNMR SHAPE

S E C R E T SECTION 2 OF 2 USNATO 5699

5. US REP RESERVED ON SECTION IV, ORGANIZATION AND CONDUCT, PENDING RECEIPT OF FURTHER WASHINGTON GUIDANCE INDICATED IN REF B. OTHER REPS EXPRESSED GENERAL AGREEMENT WITH THE APPROACH OUTLINED IN THIS SECTION. IMS REP (GALLUP) SAID THAT MILITARY PARTICIPATION ON THE SMALL WORKING GROUP SHOULD INCLUDE THE IMS TO REPRESENT THE CHAIRMAN, MC, GIVEN THE LATTER'S SENIOR POSITION IN THE MILITARY CHAIN OF COMMAND. UK REP, SUPPORTED BY OTHER REPS, ARGUED AGAINST ANY FURTHER EXPANSION OF THE WORKING GROUP, AND SUGGESTED THAT THE

CHAIRMAN, MC COULD DESIGNATE SHAPE TO REPRESENT THE NMA'S. TO ACCOMMODATE THIS PROCEDURE, SG REVISED PARA 13(A) TO READ QUOTE A SMALL WORKING GROUP WITH REPRESENTATION FROM A FEW COUNTRIES AND FROM THE NATO MILITARY AUTHORITIES END QUOTE, AND ADDED SHAPE TO THE RECOMMENDED MEMBERSHIP ON THE WORKING GROUP IN PARA 18(C). IMS REP RESERVED, PENDING FURTHER INSTRUCTIONS.

6. AT UK SUGGESTION, THE FOLLOWING SENTENCE WAS ADDED TO PARA 16: QUOTE THIS DRAFT WOULD THEN BE REFINED BY THE NPG STAFF GROUP AND PERMANENT REPRESENTATIVES, AND WITH NPG MINISTERS' ENDORSEMENT, PASSED TO THE DPC FOR APPROVAL AS THE SECRET

PAGE 02 NATO 05699 02 OF 02 201637Z

AUTHORITATIVE NATO POLICY GUIDANCE DOCUMENT ON THE ROLE OF THEATER NUCLEAR FORCES. END QUOTE. COMMENT: THIS CHANGE ADDS USEFUL ADDITIONAL LANGUAGE ON THE ULTIMATE DISPOSITION OF THE CONSOLIDATED STATEMENT. HOWEVER, IT COULD IMPLY A DRAFTING ROLE FOR THE STAFF GROUP OR EVEN PERMANENT REPRESENTATIVES THAT WE BELIEVE SHOULD RESIDE WITH THE WORKING GROUP. CONSEQUENTLY, WASHINGTON MAY WISH TO CONSIDER ALTERNATIVE LANGUAGE ALONG THE FOLLOWING LINES: QUOTE THE WORKING GROUP WOULD BE RESPONSIBLE FOR ASSEMBLING THE FIRST COMPLETE DRAFT OF THE CONSOLIDATED STATEMENT WHICH, FOLLOWING DISCUSSION IN THE STAFF GROUP AND FURTHER REFINEMENT, WOULD BE CIRCULATED TO NPG COUNTRIES AND NATO MILITARY AUTHORITIES FOR FORMAL COMMENT, AND FOR SUBSEQUENT DISCUSSION BY NPG PERMANENT REPRESENTATIVES. THEREAFTER, THE WORKING GROUP WOULD PREPARE A FINAL DRAFT FOR SUBMISSION TO NPG MINISTERS WHICH, WHEN AGREED, WOULD BE FORWARDED TO THE DPC FOR APPROVAL AS THE AUTHORITATIVE NATO POLICY GUIDANCE DOCUMENT ON THE USE OF THEATER NUCLEAR WEAPONS. END QUOTE. AS FOR TIMING, WE BELIEVE A SEPARATE PARAGRAPH COULD BE ADDED AFTER THE ABOVE ALONG THE FOLLOWING LINES: QUOTE WE DO NOT BELIEVE IT WOULD BE DESIRABLE TO ESTABLISH A RIGID TIME SCHEDULE FOR THE CONDUCT OF PHASE III. HOWEVER, WE BELIEVE IT MIGHT BE POSSIBLE TO AIM FOR CIRCULATION OF THE FIRST COMPLETE DRAFT OF THE CONSOLIDATED STATEMENT

DURING THE FALL OF 1976, AND SUBMISSION OF THE FINAL DRAFT TO NPG MINISTERS DURING THE SPRING OF 1977. END QUOTE. END COMMENT.

7. CHAIRMAN (SHEARER) RAISED THE QUESTION OF CHAIRMANSHIP OF THE PHASE III EFFORT. UK AND FRG REPS, SUPPORTED BY OTHER SG MEMBERS, SAID THEIR AUTHORITIES WANTED THE US TO SERVE IN THIS CAPACITY. US REP SAID US WOULD SERVE AS CHAIRMAN IF DESIRED BY OTHER MEMBERS OF THE NPG.

8. CHAIRMAN REQUESTED SG MEMBERS TO BE PREPARED TO DISCUSS THE NEXT REVISED DRAFT OF THE PERMREPS REPORT ON WEDNESDAY, OCTOBER 22, WITH A VIEW TOWARD REACHING AGREEMENT ON A TEXT THAT SYG LUNS COULD ISSUE FOR FORMAL REVIEW AND APPROVAL

IN CAPITALS.STREATOR

SECRET

<< END OF DOCUMENT >>

## Message Attributes

**Automatic Decaptioning:** X  
**Capture Date:** 18 AUG 1999  
**Channel Indicators:** n/a  
**Current Classification:** UNCLASSIFIED  
**Concepts:** n/a  
**Control Number:** n/a  
**Copy:** SINGLE  
**Draft Date:** 20 OCT 1975  
**Decaption Date:** 01 JAN 1960  
**Decaption Note:**  
**Disposition Action:** RELEASED  
**Disposition Approved on Date:**  
**Disposition Authority:** ShawDG  
**Disposition Case Number:** n/a  
**Disposition Comment:** 25 YEAR REVIEW  
**Disposition Date:** 28 MAY 2004  
**Disposition Event:**  
**Disposition History:** n/a  
**Disposition Reason:**  
**Disposition Remarks:**  
**Document Number:** 1975NATO05699  
**Document Source:** ADS  
**Document Unique ID:** 00  
**Drafter:** n/a  
**Enclosure:** n/a  
**Executive Order:** 11652 GDS  
**Errors:** n/a  
**Film Number:** n/a  
**From:** NATO  
**Handling Restrictions:** n/a  
**Image Path:**  
**ISecure:** 1  
**Legacy Key:** link1975/newtext/t197510101/abbrmqd.tel  
**Line Count:** 220  
**Locator:** TEXT ON-LINE  
**Office:** n/a  
**Original Classification:** SECRET  
**Original Handling Restrictions:** n/a  
**Original Previous Classification:** n/a  
**Original Previous Handling Restrictions:** n/a  
**Page Count:** 5  
**Previous Channel Indicators:**  
**Previous Classification:** SECRET  
**Previous Handling Restrictions:** n/a  
**Reference:** A. USNATO 5597 151110Z OCT 75 B. STATE 246487 162332Z OCT 75  
**Review Action:** RELEASED, APPROVED  
**Review Authority:** ShawDG  
**Review Comment:** n/a  
**Review Content Flags:**  
**Review Date:** 14 APR 2003  
**Review Event:**  
**Review Exemptions:** n/a  
**Review History:** RELEASED <14 APR 2003 by GarlanWA>; APPROVED <29 DEC 2003 by ShawDG>  
**Review Markings:**

Margaret P. Grafeld  
Declassified/Released  
US Department of State  
EO Systematic Review  
06 JUL 2006

**Review Media Identifier:**  
**Review Referrals:** n/a  
**Review Release Date:** n/a  
**Review Release Event:** n/a  
**Review Transfer Date:**  
**Review Withdrawn Fields:** n/a  
**Secure:** OPEN  
**Status:** NATIVE  
**Subject:** NPG - PERMREPS REPORT ON PHASE III  
**TAGS:** PFOR, NATO, MNUC, NPG  
**To:** STATE  
SECDEF INFO CINCLANT  
USCINCEUR  
USLOSACLANT  
USNMR SHAPE  
**Type:** TE

**Markings:** Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 06 JUL 2006